Contact Information

Rhina Sandoval  
Chief Accountant  
Navarro College  
3200 W. 7th Avenue  
Corsicana, TX  75110  
Phone: (903) 875-7465  
E-mail: rhina.sandoval@navarrocollege.edu
# NAVARRO COLLEGE INVESTMENT REPORT
FOR THE QUARTER ENDED NOVEMBER 30, 2012

<table>
<thead>
<tr>
<th>TYPE OF INVESTMENT</th>
<th>BEGINNING BOOK VALUE 08/31/12</th>
<th>ADDITIONS/CHANGES FOR PERIOD</th>
<th>ENDING BOOK VALUE 11/30/12</th>
<th>BEGINNING MARKET VALUE 08/31/12</th>
<th>ENDING MARKET VALUE 11/30/12</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Certificates of Deposit</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **Citizens National Bank-Henderson CD #677159 (Schol)**
  - Due 08/01/2013 @ 1.190% Rate
  - Purchased 08/01/2011
  - Beginning Book Value: 250,000.00
  - Ending Book Value: 250,000.00
  - Beginning Market Value: 250,195.62
  - Ending Market Value: 250,937.33

- **Community National Bank & Trust of TX - CD #1121587 (Schol)**
  - Due 06/18/2013 @ 0.20% Rate
  - Purchased 01/18/2012
  - Beginning Book Value: 640,000.00
  - Ending Book Value: 640,000.00
  - Beginning Market Value: 640,792.55
  - Ending Market Value: 641,111.67

- **State Farm - CD #1019063147 (Aux)**
  - Due 07/01/2013 @ .80000% Rate
  - Purchased 10/01/2011
  - Beginning Book Value: -
  - Ending Book Value: 100,000.00
  - Beginning Market Value: 100,562.43
  - Ending Market Value: 100,000.00

- **First Bank & Trust Co. - CD #5006220 (E&G)**
  - Due 10/18/2013 @ 1.250% Rate
  - Purchased 10/18/2012
  - Beginning Book Value: -
  - Ending Book Value: 100,000.00
  - Beginning Market Value: 100,000.00
  - Ending Market Value: 101,306.85

- **Prosperity Bank - CD #730010574 (E&G)**
  - Due 04/26/2013 @ 0.250% Rate
  - Purchased 10/26/2012
  - Beginning Book Value: -
  - Ending Book Value: 500,000.00
  - Beginning Market Value: 500,000.00
  - Ending Market Value: 500,119.86

- **Prosperity Bank - CD #730010575 (E&G)**
  - Due 10/26/2013 @ 0.350% Rate
  - Purchased 10/26/2012
  - Beginning Book Value: -
  - Ending Book Value: 500,000.00
  - Beginning Market Value: 500,000.00
  - Ending Market Value: 500,167.81

- **Prosperity Bank - CD #730010576 (E&G)**
  - Due 04/26/2014 @ 0.400% Rate
  - Purchased 10/26/2012
  - Beginning Book Value: -
  - Ending Book Value: 500,000.00
  - Beginning Market Value: 500,000.00
  - Ending Market Value: 500,191.78

- **Prosperity Bank - CD #730010577 (E&G)**
  - Due 10/26/2014 @ 0.500% Rate
  - Purchased 10/26/2012
  - Beginning Book Value: -
  - Ending Book Value: 500,000.00
  - Beginning Market Value: 500,000.00
  - Ending Market Value: 500,239.73

- **State Farm - CD #1019063147 (Aux)**
  - Due 10/01/2012 @ 0.61000% Rate
  - Purchased 10/01/2011
  - Beginning Book Value: 100,000.00
  - Ending Book Value: (100,000.00)
  - Beginning Market Value: 100,562.43
  - Ending Market Value: -

- **First Bank & Trust Co. - CD #5006220 (E&G)**
  - Due 10/18/2012 @ 1.500% Rate
  - Purchased 10/18/2011
  - Beginning Book Value: 100,000.00
  - Ending Book Value: (100,000.00)
  - Beginning Market Value: 100,562.43
  - Ending Market Value: -

- **Prosperity Bank - CD #730010540 (E&G)**
  - Due 10/26/2012 @ 0.400% Rate
  - Purchased 10/26/2012
  - Beginning Book Value: 3,000,000.00
  - Ending Book Value: (3,000,000.00)
  - Beginning Market Value: 3,001,249.32
  - Ending Market Value: -
# NAVARRO COLLEGE
## INVESTMENT REPORT
### FOR THE QUARTER ENDED NOVEMBER 30, 2012

<table>
<thead>
<tr>
<th>TYPE OF INVESTMENT</th>
<th>BEGINNING BOOK VALUE 08/31/12</th>
<th>ADDITIONS/CHANGES FOR PERIOD</th>
<th>ENDING BOOK VALUE 11/30/12</th>
<th>BEGINNING MARKET VALUE 08/31/12</th>
<th>ENDING MARKET VALUE 11/30/12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purchased 10/24/2011</td>
<td>Subtotal $4,090,000.00</td>
<td>$(1,000,000.00)</td>
<td>$3,090,000.00</td>
<td>$4,094,106.77</td>
<td>$3,092,915.44</td>
</tr>
<tr>
<td><strong>U.S. Government Agency Securities</strong></td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Subtotal</td>
<td>$0.00</td>
<td>$-</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>$4,090,000.00</td>
<td>$(1,000,000.00)</td>
<td>$3,090,000.00</td>
<td>$4,094,106.77</td>
<td>$3,092,915.44</td>
</tr>
</tbody>
</table>
# NAVARRO COLLEGE INVESTMENT REPORT RECAP
FOR THE QUARTER ENDED NOVEMBER 30, 2012

## RECAP OF INVESTMENTS BY FUND

<table>
<thead>
<tr>
<th>TYPE OF INVESTMENT</th>
<th>ENDING BOOK VALUE 11/30/12</th>
<th>Educational and General Fund</th>
<th>Debt Service Fund</th>
<th>Auxiliary Fund</th>
<th>Agency Fund</th>
<th>Plant Fund</th>
<th>Student Financial Aid Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Bank &amp; Trust Co. - CD #5006220</td>
<td>100,000.00</td>
<td>100,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community National Bank &amp; Trust of TX - CD #1121587</td>
<td>640,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>640,000.00</td>
</tr>
<tr>
<td>Citizens National Bank - CD #677159</td>
<td>250,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>250,000.00</td>
</tr>
<tr>
<td>State Farm - CD #1019063147</td>
<td>100,000.00</td>
<td>100,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prosperity Bank-CD#730010574</td>
<td>500,000.00</td>
<td>500,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prosperity Bank-CD#730010575</td>
<td>500,000.00</td>
<td>500,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prosperity Bank-CD#730010576</td>
<td>500,000.00</td>
<td>500,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prosperity Bank-CD#730010577</td>
<td>500,000.00</td>
<td>500,000.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

$ 3,090,000.00 $ 2,100,000.00 $ 0.00 $ 100,000.00 $ 0.00 $ 0.00 $ 890,000.00

### November 30, 2012 Benchmark Rates:
- TexStar Local Government Investment Service: 0.1720%
- Federal Funds Rate: 0.1800%
- Merrill Lynch Ready Assets Trust: 0.0000%
- Treasury Bill - 26 weeks: 0.1450%
- Certificates of Deposit - Six Months: 0.0000%

Rates and market values are from the November 30, 2012 The Wall Street Journal.

We, the investment officers of Navarro College District, swear that, to the best of our knowledge, this report displays Navarro College's investment portfolio as of November 30, 2012. We further swear that transactions involving this investment portfolio are in compliance with Navarro College's Investment Policy and Strategy and the provisions of the Public Funds Investment Act, Chapter 2256, and amendments of the Texas Government Code.

Prepared by: ____________________________  Date: ____________________________  Investment Officer

Date: ____________________________  Chief Accountant

Date: ____________________________  Investment Officer

Prepared 8/9/2013
### NAVARRO COLLEGE
### INVESTMENT PORTFOLIO
### MATURITY SCHEDULE
### FOR THE QUARTER ENDED NOVEMBER 30, 2012

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>1st Quarter Sept - Nov</th>
<th>2nd Quarter Dec - Feb</th>
<th>3rd Quarter Mar - May</th>
<th>4th Quarter Jun - Aug</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013</td>
<td>$</td>
<td>-</td>
<td>500,000.00</td>
<td>990,000.00</td>
<td>1,490,000.00</td>
</tr>
<tr>
<td>2014</td>
<td>600,000.00</td>
<td>-</td>
<td>500,000.00</td>
<td>-</td>
<td>1,100,000.00</td>
</tr>
<tr>
<td>2015</td>
<td>500,000.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>500,000.00</td>
</tr>
<tr>
<td>Total</td>
<td>$ 1,100,000.00</td>
<td>$ -</td>
<td>$ 1,000,000.00</td>
<td>$ 990,000.00</td>
<td>$ 3,090,000.00</td>
</tr>
</tbody>
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### NAVARRO COLLEGE

**PLEDGED COLLATERAL BY BANK**

**TO SECURE CASH AND CERTIFICATES OF DEPOSIT**

**FOR THE QUARTER ENDED NOVEMBER 30, 2012**

<table>
<thead>
<tr>
<th>Entity</th>
<th>Per Bank Cash</th>
<th>CDs</th>
<th>Total Deposits</th>
<th>FDIC Insurance</th>
<th>Pledged Collateral</th>
<th>Type of Collateral</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prosperity Bank</td>
<td>$22,729,799.27</td>
<td>$2,000,000.00</td>
<td>$24,729,799.27</td>
<td>$250,000.00</td>
<td>$32,425,294.91</td>
<td>Mortgage backed securities and Government agency issues</td>
</tr>
<tr>
<td>Community National Bank &amp; Trust</td>
<td>-</td>
<td>640,000.00</td>
<td>640,000.00</td>
<td>250,000.00</td>
<td>1,277,114.42</td>
<td>Government agency issues</td>
</tr>
<tr>
<td>Citizens National Bank-Waxahachie</td>
<td>66,158.62</td>
<td>-</td>
<td>66,158.62</td>
<td>250,000.00</td>
<td>100,000.00</td>
<td>Surety Bond</td>
</tr>
<tr>
<td>Citizens National Bank-Midlothian</td>
<td>21,663.94</td>
<td>-</td>
<td>21,663.94</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>First National Bank-Mexia</td>
<td>9,866.13</td>
<td>-</td>
<td>9,866.13</td>
<td>250,000.00</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Citizens National Bank-Henderson</td>
<td>-</td>
<td>250,000.00</td>
<td>250,000.00</td>
<td>250,000.00</td>
<td>330,093.75</td>
<td>Government agency issues</td>
</tr>
<tr>
<td>State Farm Bank</td>
<td>-</td>
<td>100,000.00</td>
<td>100,000.00</td>
<td>250,000.00</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>First Bank &amp; Trust Company</td>
<td>-</td>
<td>100,000.00</td>
<td>100,000.00</td>
<td>250,000.00</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$22,827,487.96</strong></td>
<td><strong>$3,090,000.00</strong></td>
<td><strong>$25,917,487.96</strong></td>
<td><strong>$1,750,000.00</strong></td>
<td><strong>$34,132,503.08</strong></td>
<td>-</td>
</tr>
</tbody>
</table>